YES
Fundamental Research Exclusion applies - proceed & remain vigilant!

NO

Does my research contain source code for 64-bit encryption software; or mass market encryption products?

NO

Do my research activities involve exposure to foreign nationals within the U.S.; or transfers of goods, services, information or technology abroad; or travel outside of the U.S.?

YES

Does my research involve equipment, software or technology covered by ITAR (USML\(^1\)) or EAR (CCL\(^2\))?

NO

Are the results of my research published and generally accessible or available to the public through public venues, (i.e., conferences, libraries)?

YES

Is there reason to believe that information or software released by my research will be used in the development of a weapon of mass destruction?

NO

Does my research involve providing technical information or instructions concerning equipment, software or technology listed on the USML\(^1\) or CCL\(^2\)?

YES

Export Control Regulations apply - contact OECC for assistance ASAP!

NO

Does my research involve equipment, software or technology covered by ITAR (USML\(^1\)) or EAR (CCL\(^2\))?

YES

Are the results of my research restricted for proprietary reasons (other than limited sponsor prepublication review to insure intellectual property or patent rights); or pursuant to specific U.S. Government access and dissemination controls?

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Are my research basic or applied research in the areas of science and/or engineering at an accredited institution of higher learning in the U.S. and is the information resulting from that research ordinarily published and shared broadly within the scientific community?

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2Commerce Control List (Export Administration Regulations (EAR)) available online at: http://www.bis.doc.gov/index.php/regulations/export-administration-regulations-ear

Northwestern University Office for Export Controls Compliance Updated June 2014
Note: This decision tree is based upon a template provided by the University of Maine's Office of Research and Sponsored Programs, and is used with their permission.